



Joseph Leckie Academy

Data Protection Policy

This policy is reviewed annually

Approved by JLA Trust Board: 09/2021

Last reviewed on: 09/2022

Next review due by: 09/2023

1. Introduction

1.1 Joseph Leckie Academy collects and uses personal information about employees, students, parents/carers and other individuals who come into contact with the Academy. This information is gathered in order to enable it to provide education and other associated functions. In addition, there may be a legal requirement to collect and use information to ensure that the Academy complies with its statutory obligations.

1.2 Academies have a duty to be registered, as Data Controllers, with the Information Commissioner's Office (ICO) detailing the information held and its use. These details are then available on the ICO's website. Academies also have a duty to issue a Privacy Notice to all employees, students and parents/carers, which summarises the information held on living individuals, why it is held and the other parties to whom it may be passed on.

1.3 All Academy employees must treat all information in a confidential manner and follow the guidelines as set out in this document.

1.4 The Academy's Data Protection Lead (DPL) is Miss Cassandra Stroud.

Data Protection Lead: Cassandra Stroud
Telephone: 01922 721 071 ext. 241
Email: JLAGDPR@josephleckieacademy.co.uk

1.5 The Academy's Data Protection officer (DPO) for the Academy is:

Paul Withers
Resources & Transformation,
Civic Centre 3rd Floor (HR Suite),
Darwall Street,
Walsall, WS1 1TP.

Email Address: informationmgmt@walsall.gov.uk
Contact Telephone Number: 01922 650970

1.6 The Academy is also committed to ensuring that its employees are aware of data protection policies, legal requirements and adequate training is provided to them. The requirements of this policy are mandatory for all employees of the Academy and any third party contracted to provide services within the Academy.

2. Purpose

2.1 This policy is intended to ensure that personal information is dealt with correctly and securely and in accordance with the Data Protection Act (DPA) 2018, General Data Protection Regulations (GDPR) 2016, and other related legislation. It will apply the requirements to information regardless of the way it is collected, used, recorded, stored and destroyed, and irrespective of whether it is held in paper files or electronically.

2.2 All employees involved with the collection, processing and disclosure of personal data will be aware of their duties and responsibilities by adhering to these guidelines.

3. What is Personal Information or data?

3.1 Personal information or data is defined as data which relates to a living individual who can be identified from that data, or other information held. What identifies an individual could be as simple as a name or a number or could include other identifiers such as an IP address or a cookie identifier, or other factors. If it is possible to identify an individual directly from the information you are processing, then that information may be personal data.

4. Data Protection Principles

4.1 Joseph Leckie Academy will collect and process personal data in compliance with the data protection principles under Article 5 of the GDPR. This means personal data shall be:

- **Processed lawfully, fairly and in a transparent manner** – *we evidence and explain what is being collected, why is it being collected, and how will it be used, who will it be shared with. We do this through the Academy privacy notice.*
- **Collected for specific, explicit and legitimate purposes** – *we will collect data for a legitimate purpose only.*
- **Adequate, relevant and limited** – *we will only collect what is needed and nothing more*
- **Accurate and kept up-to-date** – *We will ensure data is accurate and is kept up-to-date*
- **Storage Limitation** – *We will only keep data for as long as is necessary and in accordance with relevant legislation. How we do this can be found on the Academy retention policy*
- **Security of information processed** – *the Academy will protect against unauthorised or unlawful processing and against accidental loss, destruction or damage.*

4.2 Joseph Leckie Academy also recognises the rights of individuals in respect of information the Academy holds about them. Any requests to recognise these rights will be fully considered and evaluated so that the individual can be informed whether they can/cannot exercise the rights under their particular circumstances. These rights are:

- Right to be **Informed** – *about how their data is being used*
- Right of **Access** – *to be able to access their data*
- Right to **Rectification** – *right to correct information about them that is incorrect*
- Right to **Erasure** – *to have their data erased when they no longer want it to be used*
- Right to **Restrict Processing** – *to restrict how their data is used*
- Right to **Data Portability** – *to move their data from one organisation to another*
- Right to **Object** – *to object to their data being used at all*
- Right not to be subjected to **Automated Decision making, including Profiling** – *sole automated decision making (where there is no human involvement) and profiling are restricted under the GDPR. The restriction can be lifted under three circumstances; (1) For a contractual basis; (2) For a legal basis; (3) based on individual's explicit consent.*

5. Privacy Notice

5.1 We shall be transparent about the intended processing of data and communicate these intentions via notification to employees, parents/carers and students prior to the processing of individual's data. Notifications shall be in accordance with ICO guidance and, where relevant, be written in a form understandable by those defined as 'Children' under the legislation.

5.2 There may be circumstances where the Academy is required either by law or in the best interests of our students or employees to pass information onto external authorities, for example local authorities, Ofsted, Department of Education or the Department of Health. These authorities

are up to date with data protection law and have their own policies relating to the protection of any data that they receive or collect. The intention to share data relating to individuals to an organisation outside of our Academy shall be clearly defined within notifications and details of the basis for sharing given. Data will be shared with external parties in circumstances where it is a legal requirement to provide such information.

5.3 Any proposed change to the processing of individual's data shall first be notified to them. Under no circumstances will the Academy disclose information or data:

- That would cause serious harm to the individual or anyone else's physical or mental health or condition.
- Indicating that the individual is or has been subject to abuse or may be at risk of it, where the disclosure would not be in the best interests of the individual.
- That would allow another person to be identified or identifies another person as the source, unless the person is an employee of the Academy or a local authority or has given consent, or it is reasonable in the circumstances to disclose the information without consent. The exemption from disclosure does not apply if the information can be edited so that the person's name or identifying details are removed.

For full details of our privacy notice please click on the following link

www.josephleckieacademy.co.uk/dataprotection/

6. Data Security

6.1 In order to assure the protection of all data being processed and make informed decisions on processing activities, we shall undertake an assessment of the associated risks of proposed processing and equally the impact on an individual's privacy in holding data related to them. Risk and impact assessments shall be conducted in accordance with guidance given by the ICO. Security of data shall be achieved through the implementation of proportionate physical and technical measures. Nominated employees shall be responsible for the effectiveness of the controls implemented and reporting of their performance. The security arrangements of any organisation with which data is shared shall also be considered and where required these organisations shall provide evidence of the competence in the security of shared data.

7. Subject Access Requests (SAR)

7.1 All individuals whose data is held by us, have a legal right to request access to such data or information about what is held. However, with children, this is dependent upon their capacity to understand (normally age 13 or above) and the nature of the request. The Principal should discuss the request with the child and take their views into account when making a decision. A child with competency to understand can refuse to consent to the request for their records. Where the child is not deemed to be competent an individual with parental responsibility or legal guardian shall make the decision on behalf of the child. The Academy is aware that in some cases it might not be appropriate to release the child's information to the parents. The safety and wellbeing of the child will be the key determining factor in whether or not information can be disclosed.

7.2 The GDPR 2016 allows exemptions as to the provision of some information, therefore all information will be reviewed prior to disclosure.

7.3 Your request will normally be complied with free of charge. However, if the request is unfounded or excessive, we may refuse to act on it, or charge a reasonable fee which takes into

account administrative costs. In addition, we may charge a reasonable fee if you request further copies of the same information. The fee charged will be based on the administrative cost of providing the information requested.

7.4 To support subject access requests under the GDPR 2016, requests:

- Must be specific with regards to records or data being requested in order to avoid excessive requests
- Must follow the process of confirming identification
- Must be made by the data subject or someone authorised to act on their behalf and
- Can be sent to/received by any part of the Academy

7.5 Although we accept requests can be given verbally or via other mediums we will request that you please use and complete our form www.josephleckieacademy.co.uk/dataprotection to support this process and comply with the need to identify the requester and the data being requested.

7.6 Subject Access Requests can be sent via post to the DPL:

Miss Cassandra Stroud
Joseph Leckie Academy
Walstead Road West
Walsall, WS5 4PG

Or via email to: JLAGDPR@josephleckieacademy.co.uk.

7.7 **Confirming Identity:** The Academy will take reasonable steps to confirm the identity of the requester. However, the Academy will not make this identification process unnecessarily onerous and in cases where the requester is already known to the Academy (e.g. an existing employee, a known parent) formal identification will not be sought.

7.8 **Timing of Requests:** All requests will be responded to as promptly as possible, and in any event a response must be provided by no later than 1 month from the day of receipt, however the 1 month time limit will not commence until clarification of information and or identification is received. Where the case is considered to be complex, the deadline can be extended to 60 days. The requestor should be kept informed of any delays. If a request is received 24hrs before the Academy is due to close for school holidays, the request will not be actioned until the Academy re-opens.

7.9 **Access to Personal Data by an Authorised/Legal Agent:** When an agent makes a request on behalf of a Data Subject, signed authorisation from the Data Subject will be required. The Academy may still check directly with the Data Subject whether he or she is happy with the agent receiving the personal data and should highlight the implications of the request.

Any request received from an agent must be accompanied by signed Form of Authority [permission] from the Data Subject. No proof of identity for a Data Subject is required when the application comes from a professionally recognised agent such as Solicitor.

7.10 Information Containing Third Party Data: The Academy may refuse a subject access request where releasing that information would also involve disclosing information about another individual, except in cases where:

- That individual has consented to disclosure; or
- It is reasonable in all the circumstances to comply with the request without that individual's consent.

The Academy will seek to balance the rights of the requestor with the rights of the third party and only release information if, in all circumstances, it is reasonable to do so.

7.11 Refusing a Subject Access Request: Joseph Leckie Academy uses the presumption of release as the starting point for all valid subject access requests. Where there is a legitimate reason why information should not be disclosed (e.g. the prevention or detection of crime) the applicant will be informed of the reasons why (except in circumstances where disclosure may prejudice the purpose of the exemption applied) and of their right to appeal.

8. Amendments to Inaccurate Records

8.1 The Academy acknowledges individual's right to challenge the accuracy of the personal data held about them where they believe it to be inaccurate or misleading. Where information is found to be factually inaccurate it will be updated immediately, where there is dispute between the Academy and the data subject as to the accuracy of information, a note will be made on the record to that effect and both sets of information will be kept on the file.

9. Objections to Processing

9.1 Individuals have the right to request that the processing of information about them be restricted or ceased if they believe the information to be inaccurate or being held unnecessarily. The Academy must investigate any such request and rectify if necessary. The Data Subject should be informed before any restriction is lifted.

10. Releasing personal information to prevent or detect crime

10.1 It is Academy policy to cooperate wherever possible with requests for personal information for the prevention or detection of crime or identification or apprehension of suspects, but only after satisfactory checks have been completed to protect the rights of Data Subjects. Information will only be released where disclosure meets the criteria outlined in the GDPR

10.2 Requests will only be considered from an agency with a crime or law enforcement function, including the Police, HMRC, The UK Border Agency, or the Benefit Fraud sections of DWP or other Local Authorities.

10.3 Requests must be in writing and be clear on what is being asked for and why the release of the information is critical to the investigation.

10.4 Only information directly relevant to the purpose stated will be released, and only the minimal possible to enable the law enforcement agency to do their job. The transfer of information will be via a secure channel (e.g. secure email or special delivery post).

11. Sharing Personal Data with Third Parties

11.1 Personal data about students will not be disclosed to third parties without the consent of the child's parent or carer, unless it is obliged by law or in the best interest of the child. Data may be disclosed to the following third parties without consent:

- **Other schools/academies:** If a student transfers from Joseph Leckie Academy to another Academy, their academic records and other data that relates to their health and welfare will be forwarded onto the new Academy. This will support a smooth transition from one Academy to the next and ensure that the child is provided for as is necessary. It will aid continuation which should ensure that there is minimal impact on the child's academic progress as a result of the move.
- **Examination authorities:** This may be for registration purposes, to allow the students at our Academy to sit examinations set by external exam bodies.
- **Health authorities:** As obliged under health legislation, the Academy may pass on information regarding the health of children in the Academy to monitor and avoid the spread of contagious diseases in the interest of public health.
- **Police and courts:** If a situation arises where a criminal investigation is being carried out, we may have to forward information on to the police to aid their investigation. We will pass information onto courts as and when it is ordered.
- **Social workers and support agencies:** In order to protect or maintain the welfare of our students, and in cases of child abuse, it may be necessary to pass personal data on to social workers or support agencies.
- **Educational division:** Academy's may be required to pass data on in order to help the government to monitor the national educational system and enforce laws relating to education.

12. Photographs and Video

12.1 Images of employees and students may be captured at appropriate times and as part of educational activities for use in Academy only.

12.2 Unless prior consent from parents/carers, students or an employee has been given, the Academy shall not utilise such images for publication or communication to external sources.

12.3 It is the Academy's policy that external parties may not capture images of employees or students during such activities without prior consent. Parents are not allowed to take photos while on the Academy site or of any external Academy activities.

13. Data Disposal

13.1 The Academy recognises that the secure disposal of redundant data is an integral element to compliance with legal requirements and an area of increased risk.

13.2 All data held in any form of media (paper, tape, electronic) shall only be passed to a disposal partner with demonstrable competence in providing secure disposal services.

13.3 All data shall be destroyed or eradicated to agreed levels meeting recognised national standards, with confirmation at completion of the disposal process. Please refer to our Records Management Policy and Schedule www.josephleckieacademy.co.uk. Disposal of IT assets holding data shall be in compliance with ICO guidance.

14. Complaints

14.1 Complaints about the above procedures should be made to the Chairperson of the Trust Body who will decide whether it is appropriate for the complaint to be dealt with in accordance with the Academy's complaint procedure.

14.2 Complaints which are not appropriate to be dealt with through the Academy's complaint procedure can be dealt with by the Academy's Data Protection Officer. Should you be dissatisfied with the response you receive from the Academy's Data Protection Officer, you can contact the Information Commissioner's Office (ICO) with the details given below:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire, SK9 5AF

Email Address

Use the online form via this link <https://ico.org.uk/global/contact-us/email/>

Telephone Numbers

Calling from within the UK 0303 123 1113 (local rate) or 01625 545 745 if you prefer to use a national rate. Calling from outside the UK +44 1625 545 745

15. Review

15.1 This policy will be reviewed as it is deemed appropriate, but no less frequently than every 2 years. The policy review will be undertaken by the Data Protection Lead, Miss Cassandra Stroud.

16. Further Information and Associated Policies

16.1 For further information about Data Protection please visit the ICO website www.ico.org.uk

16.2 This policy should be read alongside:

- Information Governance Strategy
- Information Risk and Security Policy
- CCTV Policy
- Confidentiality Policy
- Freedom of Information Policy
- Information Rights Policy
- Records Management Policy and Schedule
- Incident Management Policy
- Subject Access Request Policy
- Consent to Use Personal Data Guidance
- Impact Levels and Protective Marking Guidance